

Corporate Reviews – Internal Audit Plan 2015-16

AUDIT REVIEW	MAN DAYS	PROGRESS	ASSURANCE RATING	OBJECTIVES	RECOMMENDATIONS			
					RED	AMBER	GREEN	TOTAL
Petty Cash	15	Final Report	Amber	A corporate wide substantive testing review of a sample of claims processed from larger and more frequently used petty cash imprests.	-	5	1	6

AUDIT REVIEW	MAN DAYS	PROGRESS	ASSURANCE RATING	OBJECTIVES	RECOMMENDATIONS			
					RED	AMBER	GREEN	TOTAL
Cash income Collection and Banking	20	Final Report	Amber	A corporate wide substantive testing review of a sample of cash income transactions are banked intact.	.	5	1	6

AUDIT REVIEW	MAN DAYS	PROGRESS	ASSURANCE RATING	OBJECTIVES	RECOMMENDATIONS			
					RED	AMBER	GREEN	TOTAL
Liquidations	15	Final Report	Amber	A review to ensure that the impact of risks relating to contractor and consultant liquidations are minimised	-	3	-	3

AUDIT REVIEW	MAN DAYS	PROGRESS	ASSURANCE RATING	OBJECTIVES	RECOMMENDATIONS			
					RED	AMBER	GREEN	TOTAL
Main Accounting	20	Final Report	Green	An assessment of the City's financial management systems in respect of financial reporting.	-	-	1	1

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					RED	AMBER	GREEN	TOTAL
Corporate Procurement	15	Draft Report		<p>A corporate wide substantive testing review of a sample of purchase transactions via various methods, e.g. purchase orders, procurement cards and expense claims to ensure compliance with corporate procurement rules and corporate contracts are used where appropriate.</p> <p>Excluded from the review: tendering processes, supply chain management and contract monitoring.</p>				

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					RED	AMBER	GREEN	TOTAL
Expenses	15	Draft Report (Awaiting Overdue Response)		A corporate wide substantive testing review of a sample of expense and travel claims made by those members, officers and staff who claim the most in terms of value and volume.				

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Pre-Contract Appraisal	15	Draft Report		A review to ensure that a robust approach exists over the decision to proceed with projects.				